

How surface roughness reduces heat transport for small roughness heights in turbulent Rayleigh–Bénard convection

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Rough surfaces have been widely used as an efficient way to enhance the heat-transfer efficiency in turbulent thermal convection. In this paper, however, we show that roughness does not always mean a heat-transfer enhancement, but in some cases it can also reduce the overall heat transport through the system. To reveal this, we carry out numerical investigations of turbulent Rayleigh–Bénard convection over rough conducting plates. Our study includes two-dimensional (2D) simulations over the Rayleigh number range $10^7 \leq R a \leq 10^{11}$ and three-dimensional (3D) simulations at $Ra = 10^8$. The Prandtl number is fixed to $Pr = 0.7$ for both the 2D and the 3D cases. At a fixed Rayleigh number *Ra*, reduction of the Nusselt number *Nu* is observed for small roughness height *h*, whereas heat-transport enhancement occurs for large *h*. The crossover between the two regimes yields a critical roughness height *h^c* , which is found to decrease with increasing *Ra* as $h_c \sim Ra^{-0.6}$. Through dimensional analysis, we provide a physical explanation for this dependence. The physical reason for the *Nu* reduction is that the hot/cold fluid is trapped and accumulated inside the cavity regions between the rough elements, leading to a much thicker thermal boundary layer and thus impeding the overall heat flux through the system.

Key words: convection, turbulent convection, turbulent flows

1. Introduction

Turbulent convection over a rough surface is a common scenario that one often encounters in nature and in many industrial processes. For example, it can be found in

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the urban atmospheric boundary layer (BL), where the urban surfaces are in general not smooth, and in the deep oceans, where the sea beds and the ocean floors always have rough topographies. It is of great interest and especially useful to reveal the properties of this type of flow. In the field of fundamental research, Rayleigh–Bénard (RB) convection, i.e. a working fluid layer in a closed system heated from below and cooled from above, has long been proposed as a classical and yet simple paradigm to study the convection phenomenon (Ahlers, Grossmann & Lohse [2009;](#page-8-0) Lohse & Xia [2010;](#page-9-0) Chillà & Schumacher [2012;](#page-8-1) Sun & Zhou [2014\)](#page-9-1). Rayleigh–Bénard convection has also been adopted as an ideal model system to search for ways to enhance the heat transport of natural convection (Jin & Xia [2008;](#page-8-2) Zhong, Funfschilling & Ahlers [2009](#page-9-2)*a*; Zhong *et al.* [2009](#page-9-3)*b*; Biferale *et al.* [2012;](#page-8-3) Huang *et al.* [2013;](#page-8-4) Lakkaraju *et al.* [2013\)](#page-8-5). Here, the convective heat transport is usually expressed in terms of the Nusselt number *Nu*, which is determined largely by the control parameters of the convection system, namely the Rayleigh number *Ra* and the Prandtl number *Pr*, defined as

$$
Ra = \frac{\alpha g \Delta H^3}{\nu \kappa} \quad \text{and} \quad Pr = \frac{\nu}{\kappa}, \tag{1.1a,b}
$$

where Δ is the temperature difference across the fluid layer of height *H*, *g* is the acceleration due to gravitation, and α , ν and κ are respectively the thermal expansion coefficient, the kinematic viscosity and the thermal diffusivity of the convecting fluid. Effective increase of convective heat transfer is of vital importance in many engineering applications, and the introduction of wall roughness has been expected to be an effective means for this. To study the fundamentals of heat transfer over rough surfaces, many experimental (Shen, Tong & Xia [1996;](#page-9-4) Du & Tong [1998;](#page-8-6) Ciliberto & Laroche [1999;](#page-8-7) Du & Tong [2000;](#page-8-8) Roche *et al.* [2001;](#page-9-5) Qiu, Xia & Tong [2005;](#page-9-6) Zhou & Xia [2010;](#page-9-7) Tisserand *et al.* [2011;](#page-9-8) Salort *et al.* [2014;](#page-9-9) Wei *et al.* [2014;](#page-9-10) Jiang *et al.* [2017;](#page-8-9) Xie & Xia [2017\)](#page-9-11), numerical (Stringano, Pascazio & Verzicco [2006;](#page-9-12) Shishkina & Wagner [2011;](#page-9-13) Wagner & Shishkina [2015;](#page-9-14) Jiang *et al.* [2017;](#page-8-9) Toppaladoddi, Succi & Wettlaufer [2017;](#page-9-15) Zhu *et al.* [2017\)](#page-9-16) and theoretical (Villermaux [1998;](#page-9-17) Shishkina & Wagner [2011;](#page-9-13) Goluskin & Doering [2016\)](#page-8-10) studies on turbulent RB convection over rough plates have been carried out. Up to now, it has been widely accepted that the introduction of roughness on conducing plates could efficiently enhance the heat transport through the RB system. However, Shishkina & Wagner (2011) recently proposed that the heat transport can also be reduced due to the decrease of the effective *Ra* when the distances between the roughness elements are very small (see also figure 16 of Stringano *et al.* [2006\)](#page-9-12). The reduction of the *Nu* in the presence of rough conducting plates is counterintuitive and yet interesting. We note that there is still a lack of systematic studies on this issue, and the objective of the present paper is to fill this gap.

The remainder of this paper is organized as follows. We first briefly describe the numerical methods adopted in § [2.](#page-1-0) Section [3](#page-3-0) presents and analyses the results for heat transport obtained in rough cells and reveals the mechanism for the observed reduction of the *Nu*. Finally, the work is concluded in § [4.](#page-7-0)

2. Numerical methods

We carry out direct numerical simulations (DNS) of turbulent RB convection over triangularly rough conducting plates in a two-dimensional (2D) box of height $H = 1$ and horizontal length $L = 1$, as shown in figure $1(a)$ $1(a)$. The triangular roughness elements have a vertex angle of 90◦ and their height and base width are *h* and 2*h*

FIGURE 1. Sketches of the (*a*) 2D and (*b*) 3D convection cells with the coordinate systems. Roughness elements of height *h* and base width 2*h* are located on each of the plates.

respectively. In addition, three-dimensional (3D) DNS are performed in a rectangular cell of height $H = 1$ $H = 1$, length $L = 1$ and width $W = 1/4$ (see figure 1*b*). V-shaped grooves with a vertex angle of 90◦ and with height *h* and base width 2*h* are woven on each plate, along both the *L* and the *W* directions. The influence of surface roughness on heat transport is systematically studied by varying the roughness height *h*. For the preset configurations, the contact area of the rough upper and lower *n*. For the preset configurations, the contact area or the rough upper and lower surfaces is increased by a factor of $\sqrt{2}$. When varying *h*, the contact area is fixed and thus will not contribute to the variation in the *Nu* for both 2D and 3D situations.

The dimensionless incompressible Oberbeck–Boussinesq equations, i.e.

$$
\frac{\partial u}{\partial t} + (u \cdot \nabla)u = -\nabla p + \sqrt{\frac{Pr}{Ra}} \nabla^2 u + \theta z, \qquad (2.1)
$$

$$
\nabla \cdot \mathbf{u} = 0,\tag{2.2}
$$

$$
\frac{\partial \theta}{\partial t} + (\mathbf{u} \cdot \nabla)\theta = \sqrt{\frac{1}{RaPr}} \nabla^2 \theta,
$$
\n(2.3)

were solved using a fourth-order finite-difference scheme with staggered grids. Here, *u*, θ and *p* are respectively the velocity, temperature and kinematic pressure fields and ζ is the unit vector along the vertical direction. Our numerical code has been extensively validated and adopted in previous studies (Bao *et al.* [2015;](#page-8-11) Chen *et al.* [2017\)](#page-8-12). Nopenetration and no-slip boundary conditions were applied to all solid boundaries for the velocity fields. For temperature, the vertical sidewalls were chosen to be adiabatic (no flux), while the temperature was fixed at $\theta_{cold} = -0.5$ and $\theta_{hot} = 0.5$ for the upper and lower rough plates respectively, and thus the temperature difference across the fluid layer was $\Delta = \theta_{hot} - \theta_{cold} = 1$. An immersed boundary method was applied to track the boundaries of the roughness elements (Fadlun *et al.* [2000\)](#page-8-13). We simulated over the range $10^7 \leq R a \leq 10^{11}$ for 2D cases, while *Ra* was fixed at 10^8 for 3D cases. In both the 2D and the 3D simulations, $Pr = 0.7$, corresponding to a working fluid of air (du Puits *et al.* [2014\)](#page-9-18). Non-equidistant meshes were implemented and the

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FIGURE 2. The ratio $Nu(h)/Nu(0)$ as a function of the normalized roughness height h/δ_{th}^0 obtained at $Ra = 10^8$ for 2D (triangles) and 3D (circles) simulations. Here, δ_{th}^0 is the thermal BL thickness obtained in the smooth cell using $\delta_{th}^0 = 1/[2Nu(0)]$. The red solid lines show the determination of h_c , which is the roughness height at which $Nu(h_c)/Nu(0) = 1$. The three vertical dashed lines mark the roughness heights that correspond respectively to figure [3\(](#page-4-0)*b*–*d*).

computational meshes were refined close to all solid surfaces. The grid resolution was chosen to reveal all scales of turbulent convection (Shishkina *et al.* [2010\)](#page-9-19) and the thermal BLs were resolved with at least 16 grid points for all runs. Specifically, 2560 \times 3456 grid points were used for $Ra = 10^{11}$ (2D) and 512 \times 128 \times 624 for $Ra = 10^8$ (3D).

3. Results and discussion

We first study the effects of roughness on the measured Nusselt number Nu , which is calculated as $Nu = \sqrt{RaPr} \langle w\theta \rangle - \langle \partial \theta / \partial z \rangle$, where *w* is the vertical component of the velocity field and $\langle \cdot \rangle$ indicates the average over time and over the mid-height horizontal plane. We checked that the variation in *Nu* calculated at different vertical positions in the core part of the convection cell between the roughness elements was smaller than 1% for all of the simulations. All statistics were collected over more than 500 free-fall time units after the convective flow in the cell had been fully developed. Figure [2](#page-3-1) shows the measured Nu as a function of the normalized roughness height h/δ_{th}^0 , obtained at $Ra = 10^8$ for both the 2D and the 3D results. Here, $Nu(h)$ is normalized by $Nu(h = 0)$ of the smooth cell to show the enhancement/reduction effects, and δ_{th}^0 is the thermal BL thickness for the smooth wall case estimated from $\delta_{th}^0 = 1/[2Nu(0)]$. Despite the different magnitudes, both data sets exhibit some kind of similar trend, i.e. *Nu*(*h*) first decreases at small roughness heights, reaches a minimum and then increases. Two different regimes can be identified: the *Nu* reduction regime where the overall heat transport is depressed and the *Nu* enhancement regime where an increase of heat flux is achieved. The division between the two regimes gives a critical roughness height h_c at which $Nu(h)$ crosses the value of $Nu(h = 0)$, as shown by the red solid lines in figure [2.](#page-3-1) The observed reduction in $Nu(h)$ is quite counterintuitive: now the increased contact area provided by the rough surfaces does not promote the heat flux but rather impedes it. It should be noted that Du & Tong [\(1998\)](#page-8-6) found that the effect of rough walls on *Nu* is negligible if the height of the roughness elements is smaller than the thermal BL thickness for the smooth wall case.

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FIGURE 3. The thermal BL thicknesses, $\delta_{th}(x)$ (red curves), in the *z*-direction near the bottom plate as a function of the horizontal position x/L , determined from the time-averaged temperature profiles using the 'slope' method (Zhou & Xia [2013\)](#page-9-20) and obtained at $Ra = 10⁸$ for 2D simulations. The insets show the corresponding instantaneous snapshots of the temperature (colour) and velocity (arrows) fields near the centre of the bottom plate. The data are obtained in the smooth cell (*a*) and in the rough cells with triangular roughness elements (black lines) of height $h/h_c = (b)$ 0.28, (*c*) 0.71 and (*d*) 1.42. The corresponding movie is available in the supplementary material [\(https://doi.org/10.1017/jfm.2017.786\)](https://doi.org/10.1017/jfm.2017.786).

One would thus expect $Nu(h)/Nu(0) \approx 1$ for $h/\delta_{th}^0 \lesssim 1$. In the present study, however, $Nu(h)/Nu(0) < 1$ is clearly observed over the range $h/\delta_{th}^0 < 2.5$ ($h/\delta_{th}^0 < 4.4$) with a maximal reduction of 6.3% (15%) for the 3D (2D) simulations (at $Ra = 10^8$; see figure [2\)](#page-3-1). It is really surprising that *Nu* is suppressed in such a wide parameter regime.

What is the physical reason for heat-transfer reduction by roughness surfaces? We note that for the present parameter ranges the convective flow is still in the so-called 'classical' regime (Zhu *et al.* [2017\)](#page-9-16) where the global convective heat transport is restricted mainly by thermal BLs (Ahlers *et al.* [2009\)](#page-8-0). This prompts us to directly investigate the spatial distribution of thermal BL thicknesses, $\delta_{th}(x)$, in the cells with and without roughness. Figures $3(a)$ $3(a)$ and $4(a)$ $4(a)$ show, for the 2D and 3D simulations respectively, $\delta_{th}(x)$ along the bottom plate obtained at $Ra = 10^8$ in the smooth cells. Here, δ_{th} is determined using the 'slope' method (Zhou & Xia [2013\)](#page-9-20), i.e. the position at which the tangent of the time-averaged temperature profile at the plate crosses the bulk temperature. Due to the rising plumes near the sidewalls and the strong shear induced by the large-scale circulation (LSC), the thermal BL is thicker at the two ends but much thinner in the central regions.

Figures $3(b)$ $3(b)$ and $4(b)$ $4(b)$ display the horizontal distributions of δ_{th} obtained in rough cells with $h/h_c = 0.28$ (2D) and $h/h_c = 0.34$ (3D), which are both within the *Nu* reduction regime. Compared with the respective smooth cases, variations with *x*/*L* of scale *h* are imposed on δ_{th} over the rough surfaces, i.e. δ_{th} reaches a local minimum at the tips of the roughness elements, while a local maximum of δ_{th} occurs above the valleys of the cavity regions between the adjacent rough elements. To reveal how

FIGURE 4. The thermal BL thicknesses, $\delta_{th}(x)$ (red curves), as a function of x/L , taken at $y = W/2$ near the bottom plate and at $Ra = 10^8$ for 3D simulations. The insets show the corresponding instantaneous snapshots of the temperature (colour) and velocity (arrows) fields within the vertical plane at $y = W/2$. The data are obtained in the smooth cell (*a*) and in the rough cells with roughness heights $h/h_c = (b)$ 0.34, (*c*) 0.84 and (*d*) 1.68.

these patterns are developed, we look closely into the flow structures near the bottom plate, as illustrated in the insets of figures $3(b)$ $3(b)$ and $4(b)$ $4(b)$. It is seen that the hot fluid is trapped inside the cavity regions. Due to the relatively low *Ra* or small *h*, the flow in the cavities is dominated by the viscosity of the fluid and the trapped hot fluid cannot be well mixed, i.e. the flow in the bulk cannot penetrate into the cavities. The accumulation of the hot fluid thus thickens the thermal BLs in the cavity regions and correspondingly impedes the global heat transport through the system. The same processes can be observed for the cold fluid near the top plate. This explains why the heat transport is reduced by the roughness. It should be noted that the present mechanism of heat-flux reduction is quite similar to that of drag reduction by riblets in turbulent channel flow (Choi, Moin & Kim [1993\)](#page-8-14) and in turbulent Taylor–Couette flow (Zhu *et al.* [2016\)](#page-9-21). Figures [3\(](#page-4-0)*c*) and [4\(](#page-5-0)*c*) show the results for δ_{th} at $h/h_c = 0.71$ (2D) and 0.84 (3D). As *h* is increased, the flow inside the cavities becomes stronger and some secondary vortices start to be generated by the LSC. However, at these values of *h*, the secondary vortices are too still weak to efficiently mix the fluid in all cavities and in the bulk. Therefore, the global heat transport is still less than that in the smooth wall case.

Figures [3\(](#page-4-0)*d*) and [4\(](#page-5-0)*d*) show δ_{th} as a function of x/L at $h/h_c = 1.42$ (2D) and 1.68 (3D) respectively. Within this *Nu* enhancement regime, the roughness height *h* (and the interspace) is so large or *Ra* is so high that the cavities between the rough elements are accessible by the large-scale flows near the BLs. Correspondingly, the secondary vortices inside the cavities become more turbulent and thus mix the fluid vigorously. This results in a much thinner thermal BL that covers the rough surfaces uniformly, and triggers much stronger and more frequent plume emissions. Furthermore, the effective surface area is increased thanks to these roughness elements, resulting in a larger efficient heat exchange compared with that in the smooth wall case (Toppaladoddi *et al.* [2017;](#page-9-15) Zhu *et al.* [2017\)](#page-9-16).

FIGURE 5. The ratio of $Nu(h)/Nu(0)$ as a function of (*a*) *h* and (*b*) h/h_c for *Ra* varying from 10^7 to 10^{11} for 2D simulations.

Figure $5(a)$ $5(a)$ shows the ratio $Nu(h)/Nu(0)$ as a function of h for five different values of *Ra*. The existence of the *Nu* reduction regime is rather robust and it can be found for all our values of *Ra* studied. Nevertheless, the regime shifts towards smaller *h* when *Ra* is increased, suggesting that the reduction of *Nu* occurs more easily at lower *Ra*. Indeed, for $Ra = 10^{11}$, only a very tiny depression of *Nu* is measured at very small *h* (i.e. *Nu*(*h*)/*Nu*(0) = 0.982 at *h* = 0.0025; see the black diamonds in figure [5\(](#page-6-0)*a*)). To better compare the measured $Nu(h)$ at different Ra, we adopt h_c to normalize the data, and the results are plotted in figure [5\(](#page-6-0)*b*). It is seen that in the *Nu* reduction regime $(h/h_c \le 1)$, nearly all symbols can collapse on top of each other for $Ra \le 10^9$, indicating that *h^c* is indeed a relevant typical length scale for the problem. The *Nu* reduction regime seems to become less pronounced with increasing Ra for $Ra > 10^9$, and may even disappear for very large *Ra*. In the *Nu* enhancement regime $(h/h_c > 1)$, all $Nu(h)$ seem to grow with h in a similar trend. The maximal relative heat-transfer enhancement is larger for higher *Ra*, which can be explained by the fact that the roughness may trigger stronger plume emissions at higher *Ra* (Du & Tong [1998\)](#page-8-6). At large h/h_c , one sees clearly that the value of $Nu(h)/Nu(0)$ does not increase any more. This fact of stagnation of the heat-transport enhancement may be attributed to the transition from the bulk-controlled regime to the BL-dominated regime, as proposed in the recent work of Zhu *et al.* [\(2017\)](#page-9-16).

It is clear that the critical h_c is different for different values of Ra , i.e. h_c decreases with increasing *Ra*, as shown in figure [6.](#page-7-1) The question relates to which parameter in

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FIGURE 6. Log–log plot of *h^c* as a function of *Ra* for 2D (red circles) simulations. The solid line marks the scaling $Ra^{-0.6}$ for reference. For comparison, the value of h_c obtained at $Ra = 10^8$ in 3D cases is also plotted (blue square).

the system determines this critical roughness height h_c . To quantitatively understand this dependence, we note that the fluid inside the cavity regions is mainly subject to two forces, i.e. the viscous force, vU/h_c^2 , due to the viscosity of the fluid, and the inertial force, U^2/h_c , connected to the secondary flow in the cavities, where *U* is the typical velocity of the LSC. When the roughness height *h* (and the interspace) is small (or for small *Ra*), the viscous force is dominant and the hot/cold fluid inside the cavity regions cannot be well mixed, thus resulting in a reduction of *Nu*. On the other hand, for large roughness height *h* (or for high *Ra*), the inertial force becomes strong enough to generate smaller vortices, which leads to the strong mixing in the cavity regions (see also (Zhu *et al.* [2017\)](#page-9-16)) and correspondingly enhances the heat-exchange efficiency of the system. Therefore, at the critical roughness height h_c , one should expect a balance between the two forces, i.e. $\nu U/h_c^2 \sim U^2/h_c$. We hence obtain $h_c \sim$ $v/U = Re^{-1}$. According to previous results of $Re \sim Ra^{0.6}$ in 2D RB flows (Sugiyama *et al.* [2009;](#page-9-22) Zhang, Zhou & Sun [2017\)](#page-9-23), this yields $h_c \sim Ra^{-0.6}$, which correctly reflects the trend in figure [6,](#page-7-1) at least within the range of $10^8 \le Ra \le 10^{10}$.

4. Conclusion

In summary, we have demonstrated that roughness does not always cause a heat-transfer enhancement. When the roughness height *h* is small or *Ra* is low, the hot/cold fluid can be trapped inside the cavities between the rough elements, thicken the thermal BL in these regions and consequently suppress the global heat transport through the RB system. The present results suggest that special care should be taken when applying rough surfaces to enhance the convective heat transfer.

The viscous effects become more important as *Pr* increases or *Ra* decreases, which would lead to an increase of h_c . This is consistent with our dimensional arguments, i.e. *h*_c ∼*Re*^{−1}, as previous numerical studies have shown that *Re* decreases with increasing *Pr* or with decreasing *Ra*, for both 2D and 3D situations (van der Poel, Stevens & Lohse [2013\)](#page-9-24). In particular, for very small *Pr* or for very large *Ra*, h_c should be too small to observe the Nu reduction. On the other hand, for large enough Pr or for small enough *Ra*, very large h_c is required to enhance the global heat transport. Due to the limitation of the cell height, however, heat-transport enhancement may not be achieved by surface roughness in this situation.

It should be noted that due to the lack of fluid motion in the third direction, the hot/cold fluid is more easily trapped within the cavity regions in two dimensions. This would result in a more pronounced *Nu* reduction and a larger h_c in the 2D

simulations compared with those in the 3D simulations, as one can see in figures [2](#page-3-1) and [6.](#page-7-1) It should also be noted that in most previous experimental studies, like that of Du & Tong [\(1998\)](#page-8-6), no *Nu* reduction was observed in rough cells. This may be attributed to the different configurations of the rough elements. For example, Du $\&$ Tong [\(1998\)](#page-8-6) adopted pyramids as the rough elements, which have a convex geometry that can hardly trap the hot/cold fluid, while in our present study, a concave surface was chosen as the rough configuration (see figure [1](#page-2-0)*b*). The concave rough surfaces make it possible for the hot/cold fluid to be trapped or accumulated inside the cavity regions, which should be the origination of the observed *Nu* reduction.

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Supplementary movie

Supplementary movie is available at [https://doi.org/10.1017/jfm.2017.786.](https://doi.org/10.1017/jfm.2017.786)

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