



## PRIVACY POLICY

At Third Act Retirement Planning, Inc. (TARP) your privacy is respected. TARP recognizes that you have provided private personal financial information, and we want you to know our policy regarding that information.

Third Act Retirement Planning, Inc. may collect nonpublic personal information about you from any of the following sources:

- From you or your representative on applications or forms (for example, name, address, Social Security number, birth date, assets, and income).
- From transactional activity in your account (for example, trading history and balances).
- From other interactions with Third Act Retirement Planning, Inc. (for example, information transmitted to us electronically or by oral communications).
- From other sources with your consent or with the consent of your representative (for example, from financial institutions holding assets over which you have granted limited power of attorney to Third Act Retirement Planning, Inc.).

***TARP does not disclose any nonpublic personal information about you to anyone, except in the following circumstances:***

- When we are required to in order to execute transactions for your account or otherwise to provide services you request,
- When you have specifically authorized and directed us to do so, and
- When required by law, such as when federal or state regulators examine our books and records.

To insure your privacy, TARP employs extensive information protection controls in keeping with industry standards and practices, and we regularly adapt these physical, electronic, and procedural controls to respond to changing requirements and advances in technology. For example, we make use of firewall barriers, encryption techniques, and authentication procedures.